A New Unified Design Environment for Optimization of Electric Machines Based on Continuum Sensitivity and B-Spline Parametrization

Min-Ho Kim*, Hyang-Beom Lee*, Hyeong-Seok Kim** and Jin-Kyu Byun†

Abstract – In this paper, a unified design environment is developed for the optimization of electric machines based on continuum sensitivity. For electromagnetic (EM) system analysis, COMSOL scripting environment is used. Optimization module is developed by MATLAB programming, which can be combined with COMSOL script commands. The modules are combined into one MATLAB project, and iteration process necessary for the optimization of EM system can be performed efficiently. During the design process, visual feedback of the current design status is given to the designer. In addition, the B-Spline parametrization of the nodal points is implemented to obtain smooth boundary of the device. The developed software is applied to the problem of finding uniform flux density distribution at the air gap of an electromagnet to verify its feasibility and effectiveness.

Keywords: B-Spline, COMSOL, Continuum sensitivity, Optimal design

1. Introduction

The ongoing problems of climate change due to greenhouse effects are forcing more countries to reduce carbon emissions in the immediate future. Thus, there is a growing need for a new breed of energy-efficient electric machines for electric vehicles and wind turbine generators, among others.

The optimal design method for electric machines has evolved with advances in computer technology and numerical analysis methods. Among the many design methods, those based on design sensitivity analysis (DSA) have gained popularity because of their accuracy in derivative calculation and efficiency in terms of computation time. DSA can be categorized into two groups according to how the differentiation of the objective function is performed: discrete DSA (DDSA) and continuum DSA (CDSA).

DDSA gathers sensitivity information from the system discretized by finite element method (FEM) and performs the optimal design [1]. In order to implement DDSA, the finite-element system matrix assembly part of the source code must be directly modified, which is not supported by most commercial electromagnetic (EM) software. Thus, DDSA is usually implemented by building custom EM solvers, which cannot utilize powerful graphical user interface (GUI) or fast matrix solvers provided by commercial EM software. As a result, a lot of the development time is spent on the interface routines outside the actual optimization module, and it is difficult to apply DDSA to a wide range of practical problems.

On the other hand, CDSA enables the calculation of sensitivity from the electric or magnetic field solution of the separate EM analysis module [2]. Hence, commercial EM software can be readily coupled with optimization module, including sensitivity calculation module. In this paper, a unified optimal design environment based on CDSA was developed utilizing scripting COMSOL [3] with MATLAB. The optimization module was programmed by MATLAB commands, and EM analysis module was built with COMSOL script. They are combined into one MATLAB project, and the automated design process was achieved. The program provided visual feedback of the shape of the device during the design process to help the designer understand the current status. The design parameters necessary for optimization was put in the MS Excel input file and accessed by the program for user convenience. Additionally, B-Spline parametrization of the nodal points was implemented to avoid the zigzag shape of the boundary and obtain smooth device boundary for increased accuracy and manufacturing convenience. To verify the effectiveness of the developed design environment, it was applied to the shape design of the electromagnet to obtain uniform magnetic field distribution in the air gap.

2. Continuum DSA Theory

In this section, the sensitivity equation of CDSA is derived and reviewed to provide theoretical basis for the developed unified design environment. An EM system is
shown in Fig. 1.

![Diagram](image)

**Fig. 1.** Shape optimization problem in electromagnetic system

Shape optimization involves the modification of the boundary interface $\gamma$ between two different materials. Thus, the sensitivity equation with respect to the interface variation is derived. The optimization problem for electric machines can be formulated as follows:

Minimize

$$F = \int_{\Omega} g(B(A_1)) m_s d\Omega + \int_{\gamma} h(B(A_1)) m_s d\Gamma$$  \hspace{1cm} (1)

subject to

$$\begin{align*}
-\nabla \times (\nu B(A)) - M + J &= 0 \text{ in } \Omega = \Omega_1 \cup \Omega_2 \\
A &= c \text{ on } \Gamma_0, \quad \partial A / \partial n = 0 \text{ on } \Gamma_1 \\
n \cdot B_1 &= n \cdot B_2, \quad n \times (H_2 - H_1) = 0 \text{ on } \gamma
\end{align*}$$  \hspace{1cm} (2) \hspace{1cm} (3) \hspace{1cm} (4)

where $\nu$ is the magnetic reluctivity, $M$ is the permanent magnetization, $J$ is the current density, and the subscripts 1 and 2 denote the corresponding regions $\Omega_1$ and $\Omega_2$, respectively. The objective function $F$ is written in a general form as the sum of the area and line integrals of (1), where $g$ and $h$ are arbitrary scalar functions differentiable with respect to the magnetic flux density $B(A)$. The characteristic functions $m_s$ and $m_h$ mark the parts of the region $\Omega$ and boundary $\gamma$ on which the objective functions are defined. The magnetic vector potential $A$, which is the state variable, must satisfy the governing equation (2) and the boundary condition (3) and (4). Since the objective function $F$ is a nonlinear, implicit function of the design variable (interface variation), the augmented Lagrangian method is used to derive the sensitivity. Lagrange multiplier $\lambda$ is multiplied to the constraints (2)–(4) and added to $F$ in order to form the augmented version of the objective function $\tilde{F}$ as follows:

$$\begin{align*}
\tilde{F} &= \int_{\Omega} g(B(A_1)) m_s d\Omega + \int_{\gamma} h(B(A_1)) m_s d\Gamma \\
&- \int_{\Omega} \nu B(A)^T B(\lambda) d\Omega + \int_{\gamma} J^T \lambda + M^T B(\lambda) d\gamma d\Omega \\
&- \int_{\gamma} H_\gamma \lambda d\Gamma
\end{align*}$$  \hspace{1cm} (5)

where the superscript $T$ and the subscript $t$ denote the transpose and the tangential component of a vector, respectively. The integral path $\Gamma$ on the right-hand side of (5) is composed of the interface boundary $\gamma$ and the outer boundaries $\Gamma_0$ and $\Gamma_1$.

The general sensitivity equation is obtained using the variation of (5) and the concept of material derivative [2]. The final sensitivity equation is given as follows:

$$\begin{align*}
\tilde{F} &= \int_{\gamma} [(\nu_t - \nu) B(A_1)^T B(\lambda) + \lambda_1 (J_2 - J_1) \\
&+ B(\lambda_2)^T \cdot (M_2 - M_1) - J_2^T B(A_1)m_h + hH_\gamma \lambda d\Gamma
\end{align*}$$  \hspace{1cm} (6)

where $J_1 = \nabla \times h_1$, $h_1 = \partial h / \partial B(A)$, $h_2 = \partial h / \partial B(A)$, and $\tilde{F}$ denotes the material derivative of $F$, $H$ refers to the mean curvature, and $V_n$ is the normal component of the design velocity field $V$. In (6), the Lagrange multiplier $\lambda$ is now interpreted as the adjoint variable vector, and it is defined by the state variable solution of the following adjoint system:

$$\begin{align*}
-\nabla \times \partial B(\lambda) + (\nabla \times g_1) m_s &= 0 \text{ in } \Omega \\
\lambda &= 0 \text{ on } \Gamma_0, \quad \partial \lambda / \partial n = 0 \text{ on } \Gamma_1 \\
n \cdot B(\lambda_1) &= n \cdot B(\lambda_2) \\
n \times (H(\lambda_2) - H(\lambda_1)) &= J_s m_h \text{ on } \gamma
\end{align*}$$  \hspace{1cm} (7) \hspace{1cm} (8) \hspace{1cm} (9)

where $g_1 = \partial g / \partial B(A)$ and $J_s$ represent the adjoint sources related to the first and second integral terms of (1), respectively. Table 1 lists the adjoint sources for the magnetic system according to the different objective function types.

<table>
<thead>
<tr>
<th>Type of objective function</th>
<th>Adjoint sources and their units</th>
</tr>
</thead>
<tbody>
<tr>
<td>$g = (B_{ed} - B_{eo})^2$</td>
<td>$B_{ed} = g_1 = \partial g / \partial B$ [T]</td>
</tr>
<tr>
<td>$g = (H_{ed} - H_{eo})^2$</td>
<td>$M_{ed} = g_1 = \partial g / \partial H$ [Am$^{-1}$]</td>
</tr>
</tbody>
</table>

Summarizing the abovementioned steps, the state variable vector $A$ and adjoint variable vector $\lambda$ can be obtained by solving primary system (2)–(4) and adjoint system (7)–(9), respectively. $A$ and $\lambda$ can then be substituted into (6) to calculate the sensitivity $\tilde{F}$.

### 3. Unified Design Environment

As shown in section 2, the calculation of sensitivity using CDSA does not require the manipulation of the system matrix equation. Thus, commercial EM software can be used to obtain the state variable solutions of the primary and adjoint systems. The calculation of the objective function and sensitivity, as well as the modification of the interface boundary, can then be taken...
care of in a compact optimization module. In this section, we use the COMSOL software as an EM analysis module and develop optimization module using MATLAB code. The two modules are then combined into one unified optimal design environment. The design variables are also parameterized using a B-Spline curve for the smoothness of the geometric contour.

3.1 Linking COMSOL and MATLAB Using Model M-file

Fig. 2 shows a typical modeling procedure in COMSOL where design lines and nodes are being identified. Such a modeling session can be saved as a sequence of commands [3] called a model m-file. The user can run the commands directly from the MATLAB command line or modify the model m-file using MATLAB commands since COMSOL script is compatible with MATLAB. Thus, we can start modeling in the COMSOL user interface, then save it as a model m-file and add the optimization module in the MATLAB environments. This process makes the modeling and analysis of the EM system very simple, enabling the designer to focus on the objective function and design variables in the optimization module. Moreover, since the adjoint system defined in (7) has the same geometry and material property as the primary system (2), we can use the same model m-file as the primary system when solving the adjoint system. The adjoint source $g_1 = \frac{\partial g}{\partial \mathbf{B}(\mathbf{A}_1)}$ should be calculated in the optimization module and substituted into the model m-file using COMSOL script.

Fig. 2. Modeling procedure in COMSOL

Fig. 3 shows the flowchart of the design optimization process. The left side of the flowchart shows the analysis module utilizing COMSOL, whereas the right side represents the optimization module programmed by MATLAB codes. The data input/output between the two modules are handled by COMSOL scripting commands in the model m-file. During the design process, the shape of the device at the present iteration is displayed using simple COMSOL script commands. They provide the designer with valuable information and insight regarding the current design status.

3.2 B-Spline Parametrization

In the shape design based on design sensitivity, two important points must be considered: One is the accuracy problem. If design variables have one-to-one correspondence with node points of element, the design procedure may lead to a zigzag shape, which causes serious accuracy problems near the boundary interface. The other is the manufacturing problem. If the obtained shape is too complex, it cannot be realized into a commercial product. To overcome this problem, B-Spline parameterization of node points is imposed as a design constraint.

A typical B-Spline curve is shown in Fig. 4, where the nodal points determine the model shape to be designed. During the optimization process, only the control points are changed so that their corresponding nodal points lie on a smooth curve of B-Spline. The design variables and the control points are related by the parameterization using the B-Spline curve. This is expressed by the following:

$$\mathbf{p} = \mathbf{N} \mathbf{c}$$  \hspace{1cm} (10)

where $\mathbf{p}$ is a design variable vector and $\mathbf{c}$ is a control point vector. $\mathbf{N}$ is a matrix of B-Spline parameterization and is defined as follows [5], [6]:

$$\mathbf{N} = \begin{bmatrix} N_{i1}(t_1) & \cdots & N_{i1}(t_1) \\ \vdots & \ddots & \vdots \\ N_{ik}(t_j) & \cdots & N_{ik}(t_j) \end{bmatrix}$$  \hspace{1cm} (11)
where \( k \) is an order (one more than the degree), \( t \) is a parameter varying typically from 0 to 1, and \( N_i(t) \) is the basis function that depends on the parametric value \( t \) and the order \( k \) of the curve. Using (10), the sensitivity with respect to the control points can be obtained by the following:

\[
\frac{dF}{dc} = \frac{dF}{dp} \frac{dp}{dc} = \frac{dF}{df} N
\]

(12)

4. Numerical Examples

To verify the developed unified design environment, an optimal shape design of electromagnet to obtain the uniform magnetic flux density in the objective region was tested. An MS Excel spreadsheet file was used as an input file of the design parameters for the optimization module. In order to determine the search direction and the step-size, the simple steepest descent method or the general optimizer DOT with modified feasible direction algorithm [7] can be used.

Fig. 5 shows the design variables and objective function region of the electromagnet model.

![Design line and objective function region of the electromagnet model](image)

Fig. 5. Design line and objective function region of the electromagnet model

The objective function to obtain the uniform flux density distribution in the objective region is given by the following equation:

\[
F = \int_{\Omega_y} (B_{yi} - B_{yo})^2 d\Omega
\]

(13)

where \( \Omega_y \) is the objective function region, \( B_{yi} \) is the \( y \) component of the calculated magnetic flux density at \( i \) th iteration, and \( B_{yo} \) denotes the target value of \( B_{yi} \). In this example, \( B_{yo} \) was set as –0.95 [T]. The optimization was performed in two separate cases to test the effectiveness of the B-Spline parametrization. First, 11 nodal points at the bottom side of the electromagnet were set as design variables without parametrization, as shown in Fig. 6 (case 1). Next, B-Spline parametrization was applied and 11 control points were defined at the same locations where nodal points were defined in Fig. 6 (case 2). These control points determine the B-Spline curve, and 23 nodal points were placed on that curve to achieve the smooth boundary of the magnet (the small numbers near the nodal points in Fig. 6 are the line number defined in the COMSOL).

Fig. 7 compares the final shapes of the devices of the two cases. With no parametrization (case 1), it can be observed that the magnet boundary has a zigzag shape. Whereas when B-Spline parametrization was used (case 2), the interface boundary was very smooth and practical device can be manufactured from the design. Fig. 8 shows the magnetic flux density distribution in the objective region. The final \( B_y \) distribution was very close to the target value of –0.95 [T] for both cases. However, B-Spline parametrization result (case 2) shows a slightly more uniform distribution compared to case 1. Fig. 9 shows the convergence of the objective function for both cases. In the

![Fig. 6. Nodal or control points as design variables](image)

![Fig. 7. Comparison of the initial and final electromagnet shapes](image)
early iterations, case 1 (nodal points) shows slightly faster convergence. However, after eight iterations, case 2 (B-Spline with control points) shows faster and more stable convergence characteristics. This robustness of the B-Spline parametrization was expected because it prevents the formation of the zigzag shape at the device boundary, which can lead to inaccuracy in the analysis.

![Graph](image1)

Fig. 8. Comparison of the magnetic flux density distribution before and after optimization:

(a) No parametrization

(b) B-Spline parametrization

Fig. 9. Comparison of the objective function convergence

5. Conclusion

A new unified design environment for electric machines was developed based on CDSA and B-Spline parametrization. A commercial EM software (COMSOL) was used as a modeling tool and analysis module, and an optimization module was developed using MATLAB codes. Two modules were linked by COMSOL scripting commands to enable simplified and easy-to-use design procedure. Numerical examples show the validity of the developed design environment. Moreover, B-Spline parametrization of nodal points resulted in smoother interface boundary of the final device with better performance.

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References

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